

1 **Generation of top-boundary conditions for 3D**
2 **ionospheric models constrained by auroral imagery and**
3 **plasma flow data**

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7 **Key Points:**

- 8 • We provide three methods for developing ionospheric convection flow maps from
9 limited data tracks in conjunction with auroral imagery
- 10 • These methods of generating distributed plasma flow data surrounding auroral arcs
11 are done anisotropically using auroral imagery
- 12 • Understanding current closure in auroral arc systems requires a fully 3D perspec-
13 tive

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Abstract

Data products relating to auroral arc systems are often sparse and distributed while ionospheric simulations generally require spatially continuous maps as boundary conditions at the topside ionosphere. Fortunately, all-sky auroral imagery can provide information to fill in the gaps. This paper describes three methods for creating electrostatic plasma convection maps from multi-spectral imagery combined with plasma flow data tracks from heterogeneous sources. These methods are tailored to discrete arc structures with coherent morphologies. The first method, “reconstruction”, builds the electric potential map (from which the flow field is derived) out of numerous arc-like ridges and optimizes them against the plasma flow data. This method is designed for data from localized swarms of spacecraft distributed in both latitude and longitude. The second method, “replication”, uses a 1D across-arc flow data track and replicates these data along a determined primary and secondary arc boundary while simultaneously scaling and rotating in accordance with a zeroth-order understanding of auroral arcs. The third, “weighted replication”, performs a replication on two data tracks and calculates a weighted average between them, where the weighting is based on data track proximity. This paper shows the use of these boundary conditions in driving and assessing 3D auroral ionospheric, multi-fluid simulations.

Plain Language Summary

The aurora, or northern and southern lights, are embedded within a complicated system of interacting electric fields, magnetic fields, and charged particles, the more energetic of which produce the lights themselves by exciting the neutral atmosphere. This brings about a 3D electric current system. These currents enter and exit the atmosphere along the Earth’s magnetic field lines, and can only close their circuit between 80 and 150 km. Since auroral arcs often have sheet-like morphologies, this current closure has been studied extensively in 2D (altitude-latitude), yet not nearly as much in 3D, allowing for variations along the arcs. This paper outlines the importance of simulating auroral arc systems in 3D and thus the need for generating continuous horizontal top-boundary drivers for these simulations. This is difficult as the available data products are limited. This paper provides three methods of creating these boundary conditions using multi-color, all-sky auroral imagery in conjunction with approximately across-arc plasma flow data tracks provided by spacecraft, sounding rockets and/or radar measurements.

1 Introduction

1.1 Motivation

Measurements of auroral arc systems are often sparse, heterogeneous (i.e. multi-sourced), and distributed, yet volumetric ionospheric simulations generally require spatially continuous, two-dimensional (2D) boundary conditions on the top surface of the model space. Moreover, ionospheric plasma datasets commonly provide no more than one or perhaps two tracks of dense one-dimensional (1D) data leaving little to no information on variations along the orthogonal direction. Fortunately, information about these morphologies is something that all-sky imagery can provide.

This paper discusses the development and application of three methods for creating spatially continuous, topside ionospheric, electrostatic plasma convection maps from distributed optical data provided by all-sky, multi-spectral imagery combined with plasma flow data tracks provided by spacecraft, sounding rockets and/or radar measurements. These methodologies focus on typical sheet-like discrete auroral arc structures with high across- to along-arc gradient ratios. Furthermore, this paper shows the use of these boundary conditions in driving and assessing three-dimensional (3D) auroral ionospheric simulations.

The understanding of auroral arc scale science plays an important role in interpreting magnetosphere-ionosphere (MI) coupling, the ionospheric end of which itself involves an ongoing sequence of system science studies (Wolf, 1975; Seyler, 1990; Cowley, 2000; Lotko, 2004; Fujii et al., 2011, 2012; Marghitu, 2012; Khazanov et al., 2018; Clayton et al., 2019, 2021; Yano & Ebihara, 2021; Lynch et al., 2022; Enengl et al., 2023; Wang et al., 2024). MI coupling studies near auroral arcs demand self-consistent (per Eq. (1)), topside ionospheric maps of field-aligned current (FAC) and convection plasma flow consistent with a 3D ionospheric conductivity volume created by charged particle, auroral precipitation and sunlight. The auroral ionosphere plays a non-passive role in this coupling; even with electrostatics, the arrangement of flows and time-dependent precipitation implies evolving conductivity making the system quasi-static at best. At high latitudes, the height-integrated relation between quasi-static convective flow, FAC, and conductances is (Kelley, 2009, Eq. 8.15):

$$j_{\parallel}(x, y) = \Sigma_P \nabla_{\perp} \cdot \mathbf{E} + \mathbf{E} \cdot \nabla_{\perp} \Sigma_P + (\mathbf{E} \times \mathbf{b}) \cdot \nabla_{\perp} \Sigma_H, \quad (1)$$

76 where j_{\parallel} is the ionospheric topside map of FAC orthogonal to the local magnetic field,
 77 $\Sigma_{P,H}$ are the height-integrated Pedersen and Hall conductivities, i.e. conductances, \mathbf{E}
 78 is the ionospheric electric field, and $\mathbf{b} = \mathbf{B}/B$ is the magnetic field direction. This ex-
 79 plains, in the absence of induction, how magnetospheric currents and convection patterns
 80 couple to the ionosphere given height-integrated conductivity maps using the ionospheric
 81 Ohm’s law and current continuity. Integrating out altitudinal effects, however, can hide
 82 significant information regarding auroral arc systems. Altitude dependent, finite recom-
 83 bination times, together with plasma transport, can produce 3D electron density struc-
 84 tures providing an auroral precipitation hysteresis in conductance maps. Moreover, the
 85 3D conductivity volume is highly sensitive to auroral precipitation by means of impact
 86 ionization, as the precipitation energy spectra determine ionization rate profiles that are
 87 altitude dependent (Fang et al., 2008, 2010). Altitudinal effects aside, the third term in
 88 Eq. (1) is typically also ignored in sheet-like assumptions. In some cases, where the iono-
 89 sphere is modelled as a slab of constant conductance, the second term is ignored as well.
 90 For proper understanding of MI coupling, it is important to study the full 3D system when
 91 looking at FAC closure influenced by auroral precipitation that is both geophysical and
 92 self-consistent with plasma convection. Hence, we need ionospheric simulations that look
 93 at the full, 3D current continuity equation, an engagement that requires spatially con-
 94 tinuous top-boundary input maps.

95 Both Eq. (1) and topics discussed in this paper deal with self-consistency, not causal
 96 relationships, when finding solutions to auroral current continuity. Hypotheses can be
 97 made on causality through intuition, but cannot be proven within the framework out-
 98 lined in this paper.

99 1.2 Background

100 The problem of extrapolating convection flow into continuous maps is not new. Nicolls
 101 et al. (2014) undertake the mapping (or “imaging”) of electric field distributions using
 102 line-of-sight (LOS) plasma flow measurements from a single, multibeam incoherent scat-
 103 ter radar (ISR). They outline a regularized least-squares fitting algorithm which takes
 104 direct LOS flow measurements, along with their measurement error, and produces an elec-
 105 tric potential map. This is a difficult feat in that a single LOS measurement only car-
 106 ries information on one component of the electric field; multistatic beams are required
 107 to discover information about the full vector field without regularization assumptions.

108 Part of their regularization minimizes the mean squared curvature of the potential field
 109 (with an adjustable tailoring parameter) which results in the smoothest possible solu-
 110 tions and minimizes gradients isotropically, something not well suited near sheet-like au-
 111 roral arcs with zeroth order across-arc conductance gradients.

112 Bristow et al. (2016) approach a similar problem but with multiple HF radars by
 113 using Local Divergence-Free Fitting (LDFF), as opposed to a global divergence-free con-
 114 straint. They impose the local constraint of divergence-free plasma convection and treat
 115 this in the same way the recombination of two LOS measurements constraint is treated.
 116 This achieves larger gradients, and in turn higher spatial resolution; however, this method
 117 does not take into account auroral boundaries that will factor significantly into current
 118 continuity near the arc and associated flows/potentials.

119 Laundal et al. (2022) describe methodology for the “Local mapping of polar iono-
 120 spheric electrodynamics” (Lompe). This is an assimilative tool that gathers relatively
 121 dense, heterogeneous observational data and performs a regional mapping of the elec-
 122 trodynamics in the polar ionosphere. They use Spherical Elementary Current Systems
 123 (SECS, Amm, 1997) instead of the more global spherical bases used by other assimi-
 124 lative tools like the Kamide-Richmond-Matsushita (KRM, Kamide et al., 1981) and the
 125 Assimilative Mapping of Ionospheric Electrodynamics (AMIE, Richmond & Kamide, 1988)
 126 methods, which allows more flexibility when it comes to spatial scales. Lompe, in its de-
 127 fault configuration, uses smooth background conductance patterns derived from a sta-
 128 tistical model and does not fully capture the variations due to arc-scale structures.

129 For ideal, sheet-like auroral arcs, often only the first term in Eq. (1) is considered.
 130 In order to address the zeroth order effects of strong and anisotropic conductivity gra-
 131 dients in the vicinity of auroral arcs, this paper presents, first, a formalization of tech-
 132 niques developed during the Phase A Concept Study Report (CSR) for the Auroral Re-
 133 construction CubeSwarm (ARCS) mission proposal (Lynch et al., 2024; Erlandson et al.,
 134 2024) and second, an extension of techniques developed by Clayton et al. (2019, 2021).
 135 We provide methodologies for the continuous mapping of plasma flow data tracks which
 136 focus on auroral physical and gradient scale lengths, and discrete sheet-like morpholo-
 137 gies, and we use such maps as top-boundary drivers for 3D ionospheric simulations.

138 Section 2 describes the reconstruction, replication, and weighted replication method-
 139 ology along with example usages of each one. Section 3 outlines and compares two 3D

140 auroral multi-fluid simulations driven by the plasma flow maps derived by the replica-
 141 tion method in Section 2.2. In section 4 we discuss our results and provide cautionary
 142 remarks, and in Section 5 we conclude this work and outline how these tools can be used
 143 in the future.

144 2 Methodologies

145 We outline three methods for developing continuous topside ionospheric plasma flow
 146 maps from limited remote sensed or in situ flow data tracks collected in conjunction with
 147 auroral imagery. Section 2.1 outlines the first methodology, coined “reconstruction”, which
 148 stems from the science section in the ARCS CSR (Lynch et al., 2024). This report pro-
 149 poses an arrayed, localized swarm of spacecraft spanning both multiple latitudes *and* lon-
 150 gitudes, i.e. a “CubeSwarm”. The reconstruction method prioritizes accurate flow rep-
 151 resentation interior to the swarm array and builds the flow map using a pseudo-basis set
 152 of electric potential ridges, ensuring electrostatic flow. These ridges follow some defini-
 153 tion of a single auroral arc boundary determined using morphological features of all-sky,
 154 multi-spectral imagery or, in some cases, maps of FAC from the swarm itself. The left
 155 column of Figure 1 outlines the geographical context of the Observing System Simula-
 156 tion Experiment (OSSE) used in Lynch et al. (2024) to demonstrate the reconstruction
 157 technique. This OSSE is interpolated with a virtual spacecraft swarm to provide multi-
 158 point, hypothesized in situ plasma flow data.

159 The second method, “replication”, outlined in Section 2.2, extends related method-
 160 ology used by Clayton et al. (2019, 2021) who use data from the Isinglass sounding rocket
 161 campaign in conjunction with imagery from the UAF Geophysical Institute’s Poker Flat
 162 Digital All-Sky Camera (DASC) (Conde et al., 2001). This method makes use of plasma
 163 flow data from a single auroral arc crossing, whether from a sounding rocket (Clayton
 164 et al., 2019, 2021), spacecraft (Archer et al., 2017), or ISR (Kaepler et al., 2023). In
 165 the present work, the data are replicated, scaled, and rotated in accordance with *two* au-
 166 roral arc boundaries, again, determined through all-sky imagery features. After this, elec-
 167 trostatic enforcing is applied. The right column of Figure 1 shows the geographical con-
 168 text of the simulation used to demonstrate the replication technique.

169 The third method, a permutation of the second, named “weighted replication”, is
 170 outlined in Section 2.3 and uses two data tracks in conjunction with all-sky imagery. This

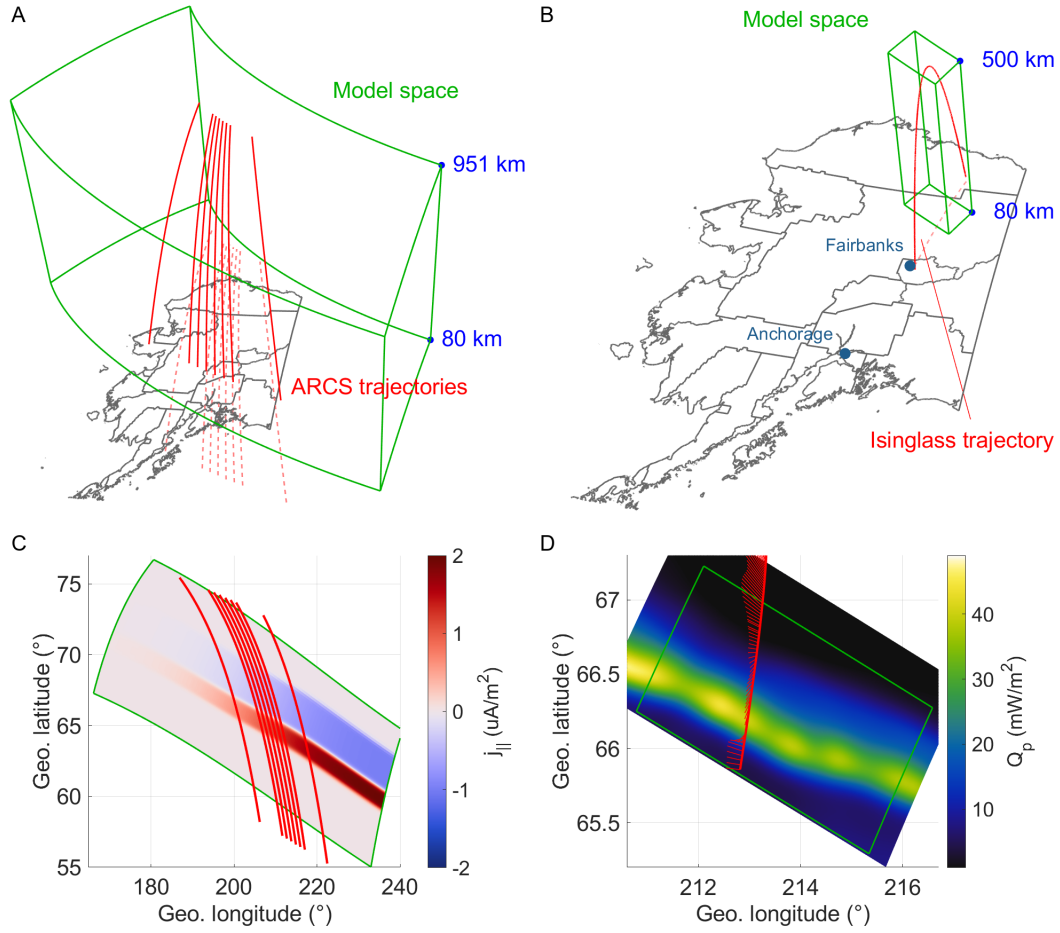


Figure 1. Geographical context relating to the simulations used in demonstrating the reconstruction and replication methods. **A:** The 3D simulation model space (green) and the ARCS trajectories (red), along with their ground tracks (red, dashed), in reference to Alaska. **B:** Same as panel A but with the Isinglass trajectory. **C:** Topside ionospheric FAC simulation driver (colormap) in reference to the model space (green) and ARCS orbits (red). **D:** Total precipitating energy flux (colormap) and plasma flow data (red) in reference to the model space (green outline). Data source: <https://rcweb.dartmouth.edu/lynchk>.

171 method repeats part of the replication methodology for each data track and then per-
 172 forms a weighted averaging on the interpolated flow maps (prior to enforcing electrostat-
 173 ics) with the weighting being based on the geometric distances to either data track.

174 In all three methods, one of the main difficulties in creating a continuous plasma
 175 flow map lies in the constraint that it is divergence-free, i.e. electrostatic (Ruohoniemi
 176 et al., 1989; Nicolls et al., 2014). Vector velocity fitting algorithms exist which handle
 177 this constraint. However, such algorithms will often create large flow vortices (diverg-
 178 ing electric fields) which in our case act as spurious sources and sinks of FAC.

179 **2.1 Reconstruction**

180 This section provides a proof-of-concept reconstruction using an OSSE (Feb 1, 2015
 181 at 10 UT, 23.2 MLT) from Lynch et al. (2024) wherein a localized “CubeSwarm” of vir-
 182 tual spacecraft generate synthetic data from the 3D auroral arc simulation as they or-
 183 bit through (see Figure 1A). The simulation used in this section is data-inspired, but ide-
 184 alized; it is driven with a top-boundary map of a single pair of mostly east-west aligned
 185 FAC sheets with a slight bend in their profile and the amplitudes of which fade west-
 186 ward from ± 1 to $0 \mu\text{A}/\text{m}^2$ over the span of the model space (see Figure 1C). The asso-
 187 ciated auroral arc precipitation input maps are of a similarly shaped arc embedded within
 188 the poleward FAC sheet peaking at an energy flux of $3 \text{ mW}/\text{m}^2$ and characteristic en-
 189 ergy of 3 keV with gradient scale lengths of 40 km.

190 **2.1.1 Reconstruction algorithm**

191 With preconception of its general form, we construct the potential map out of a
 192 sum of a user-defined number, N_k , of east-north dependent pseudo-basis functions, ϕ_k ,
 193 each governed by a set of parameters. The functional form for each of them is an inclined
 194 Gaussian ridge, i.e. a Gaussian profile northward that extrudes east- and westward with
 195 a constant sloped amplitude while following the curved boundary of the arc. This is done
 196 to find electric potential solutions that prioritize across-arc gradients while remaining
 197 relatively unstructured along the arc. The $\mathbf{E} \times \mathbf{B}$ plasma flow derived from this poten-
 198 tial field is then compared against the virtual plasma flow data and the mean square dif-
 199 ference is minimized over the parameter space.

200 The arc boundary is determined by applying a standard Sobel edge detection al-
 201 gorithm (Sobel, 2014) to the all-sky imagery derived Pedersen conductance. Given the
 202 idealistic nature of the OSSE used in demonstrating this method, this suffices, but we
 203 caution the reader regarding the complexities of determining less idealized arc bound-
 204 aries. After determining an appropriate set of boundary points, they are least-squares
 205 fit against the following functional form:

$$b(x; \bar{A}) = \sum_{j=1}^{N_j} \left[A_{j1} + A_{j2} \tanh\left(\frac{x - A_{j3}}{A_{j4}}\right) \right], \quad (2)$$

206 with b the arc boundary, \bar{A} the $N_j \times 4$ fitting boundary parameter matrix, N_j the user-
 207 defined number of summation terms, and x the linear magnetic east coordinate. Through-
 208 out this manuscript, the coordinates x , y , and z refer to linear magnetic east, north, and
 209 up in the northern hemisphere. The reason for the choice of summing hyperbolic tan-
 210 gents lies in the tendency of auroral arcs to be aligned magnetic east-west and to be rel-
 211 atively unstructured in this direction.

212 With this, we define our pseudo-basis potential ridge as

$$\phi_k(\mathbf{r}; \bar{P}, \bar{A}) = (P_{k1}x + P_{k2}) \exp\left[-\frac{(y - P_{k3} - b(x; \bar{A}))^2}{P_{k4}^2}\right], \quad (3)$$

213 where \bar{P} is the $N_k \times 4$ potential parameter matrix, giving a total potential field of

$$\phi(\mathbf{r}; \bar{P}, \bar{A}) = \sum_{k=1}^{N_k} \phi_k(\mathbf{r}; \bar{P}, \bar{A}). \quad (4)$$

214 Parenthetically, prior work by (Clayton et al., 2021, Appx. A) aimed to instead warp the
 215 flow field via a coordinate transformation to along/across-arc coordinates, similar to those
 216 used by Marghitu (2012), but we have found the solution used here to be both simpler
 217 to implement and faster in this context.

218 The plasma flow data from the virtual spacecraft provide the flow vectors $\mathbf{v}_i =$
 219 (v_{xi}, v_{yi}) at positions $\mathbf{r}_i = (x_i, y_i)$ with i being the sample number. These flow data are
 220 Gaussian smoothed, which is done mindfully as this directly impacts the FAC sources
 221 in Eq. (1), but more on this in Section 4.2. With this, the electric field components, E'_x

222 and E'_y , to be compared against the plasma flow data are

$$\begin{aligned} E'_x(\mathbf{r}_i; \bar{P}, \bar{A}) &= -\frac{\partial}{\partial x} \phi(\mathbf{r}; \bar{P}, \bar{A}) \Big|_{\mathbf{r}_i} \\ &= -\sum_{k=1}^{N_k} \left[P_{k1} + \frac{2\gamma(\mathbf{r}_i; \bar{P}, \bar{A})}{P_{k4}^2} (P_{k1}x_i + P_{k2}) \frac{\partial b}{\partial x} \Big|_{x_i} \right] \exp \left[-\frac{\gamma(\mathbf{r}_i; \bar{P}, \bar{A})^2}{P_{k4}^2} \right] \end{aligned} \quad (5)$$

$$\begin{aligned} E'_y(\mathbf{r}_i; \bar{P}, \bar{A}) &= -\frac{\partial}{\partial y} \phi(\mathbf{r}; \bar{P}, \bar{A}) \Big|_{\mathbf{r}_i} \\ &= \sum_{k=1}^{N_k} \frac{2\gamma(\mathbf{r}_i; \bar{P}, \bar{A})}{P_{k4}^2} (P_{k1}x_i + P_{k2}) \exp \left[-\frac{\gamma(\mathbf{r}_i; \bar{P}, \bar{A})^2}{P_{k4}^2} \right], \end{aligned} \quad (6)$$

223 with $\gamma(\mathbf{r}; \bar{P}, \bar{A}) = y - P_{k3} - b(x; \bar{A})$ and

$$\frac{\partial b}{\partial x} = \sum_{j=1}^{N_j} \frac{A_{j2}}{A_{j4}} \operatorname{sech}^2 \left(\frac{x - A_{j3}}{A_{j4}} \right). \quad (7)$$

224 From here, with $\mathbf{B} = -B\hat{z}$, we rotate the electric field providing (non-optimized) plasma
225 flow:

$$\mathbf{v}'(\mathbf{r}; \bar{P}, \bar{A}) = v'_x \hat{x} + v'_y \hat{y} = \frac{\mathbf{E}' \times \mathbf{B}}{B^2} = \frac{1}{B} (-E'_y \hat{x} + E'_x \hat{y}). \quad (8)$$

226 This reduces the problem to finding the parameter matrix, \bar{P}^0 , which solves

$$\min_{\bar{P}} \sum_i \left\| (v'_x(\mathbf{r}_i; \bar{P}, \bar{A}^0), v'_y(\mathbf{r}_i; \bar{P}, \bar{A}^0)) - (v_{xi}, v_{yi}) \right\|^2, \quad (9)$$

227 where \bar{A}^0 is the best fitting boundary parameter matrix, such that the continuous plasma
228 flow map, v_c , is given by

$$\mathbf{v}_c(\mathbf{r}) = \mathbf{v}'(\mathbf{r}; \bar{P}^0, \bar{A}^0), \quad (10)$$

229 and subsequently the continuous potential map used to drive ionospheric models is

$$\phi_c(\mathbf{r}) = \phi(\mathbf{r}; \bar{P}^0, \bar{A}^0). \quad (11)$$

230 By using the potential ridges, we prioritize solutions for ϕ_c that have sheet-like morphol-
231 ogy in contrast to what has been done before (Kamide et al., 1981; Amm, 1997; Nicolls
232 et al., 2014; Bristow et al., 2016; Laundal et al., 2022). This maintains strong potential
233 gradients normal to the arc boundary, as may be expected from basic current continu-
234 ity considerations and observations of electric field variability near arcs (Marghitu, 2012).

235 **2.1.2 Reconstruction example**

236 Figure 2 shows an example use of the reconstruction algorithm. This example was
237 developed for the proposed ARCS mission (Lynch et al., 2024) to verify the ability of
238 plasma flow reconstruction given a local grouping of spacecraft. The virtual orbits are

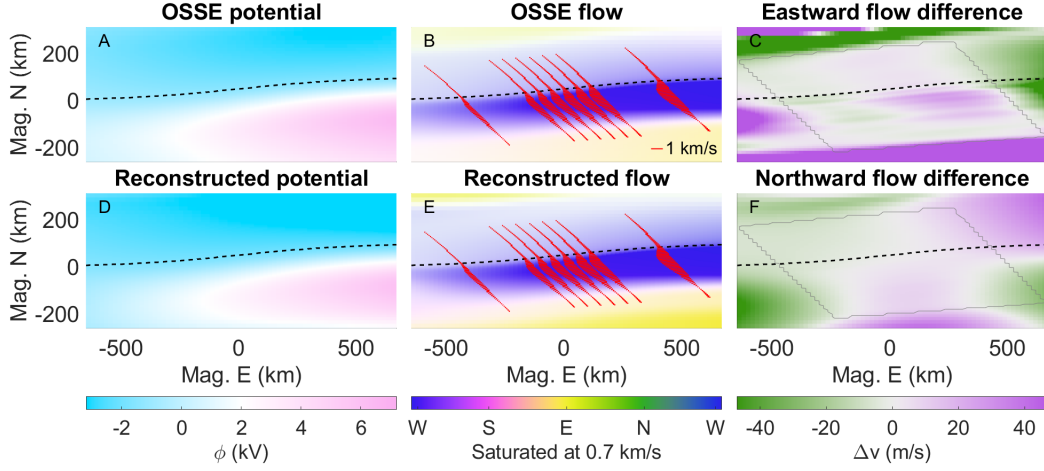


Figure 2. Example of a plasma flow field reconstruction. **A:** The electric potential map used to drive the OSSE with the boundary, b , overlaid. **B:** The resulting flow field with the virtual flow data points, \mathbf{v}_i , (red) interpolated from it. The color representation of flow has the direction depicted by hue and the intensity by the color saturation. **D, E:** The reconstructed electric potential, ϕ_c , and flow, \mathbf{v}_c . **C, F:** The difference between the reconstructed and OSSE east- and northward flow with the gray outline being the region of interest. Data source: <https://rcweb.dartmouth.edu/lynchck>.

239 arranged densely to provide maps of along- and across-arc gradients. The black dashed
 240 lines are the imagery derived boundary, b . The plasma flow vectors, \mathbf{v}_i , are overlaid in
 241 red. The reconstructed electric potential, ϕ_c , and reconstructed flow, \mathbf{v}_c , match well within
 242 the spacecraft region (gray outline in Fig. 2C, F) as per design. The maximum absolute
 243 flow difference in this region is 47 m/s eastward and 28 m/s northward with averages
 244 of 5(12) and 5(8) m/s.

2.1.3 Possible improvements

246 A different choice of the potential ridges, ϕ_k , can be used to stretch the well-fitted
 247 region as presently the goodness-of-fit rapidly decreases when moving away from the space-
 248 craft. The electric field resulting from a single ridge i.e. Eqs. (5-6), far from the fitting
 249 region is

$$\lim_{\mathbf{r} \rightarrow \infty} E_{xk}(\mathbf{r}; \bar{P}, \bar{A}) = -P_{k1} \exp \left[-\frac{(y - P_{k3} - b_{\pm\infty})^2}{P_{k4}^2} \right] \quad (12)$$

$$\lim_{\mathbf{r} \rightarrow \infty} E_{yk}(\mathbf{r}; \bar{P}, \bar{A}) = \frac{2}{P_{k4}^2} (P_{k1}x + P_{k2})(y - P_{k3} - b_{\pm\infty}) \exp \left[-\frac{(y - P_{k3} - b_{\pm\infty})^2}{P_{k4}^2} \right], \quad (13)$$

251 where $b_{\pm\infty} = \sum_j (A_{j1} \pm A_{j2})$ and $\partial b/\partial x(x \rightarrow \pm\infty) \rightarrow 0$. Here, E_{xk} remains finite,
 252 but E_{yk} diverges as $|y| < \infty \wedge x \rightarrow \infty$. As models often require extended coverage
 253 surrounding the region of interest into which the flow map needs to extrapolate, slow-
 254 ing down this divergence would provide improved solutions for outside the spacecraft re-
 255 gion. Lastly, incorporating weighted fitting would provide error estimates for reconstruc-
 256 tions from real data as opposed to an OSSE, e.g. weights of $w_i = 1/\sigma_i^2$ with σ_i being
 257 instrument error.

258 **2.2 Replication**

259 The second method of developing continuous topside ionospheric plasma flow maps
 260 uses individual, approximately across-arc data tracks of plasma flow data in conjunction
 261 with all-sky, multi-spectral imagery. In this method, data points are replicated in the
 262 along-arc direction using direct and indirect information from the imagery. Primary *and*
 263 secondary boundaries are determined along which the data track is translated, scaled,
 264 and the flow data are rotated to be tangent with the primary boundary. The example
 265 here uses dataset “c5” from Clayton et al. (2021) on March 2, 2017 at 7:54:10 UT (20.2
 266 MLT).

267 **2.2.1 Arc boundary definitions**

268 Determining the arc boundaries from multi-spectral imagery data first requires an
 269 inversion (Grubbs II, Michell, Samara, Hampton, Hecht, et al., 2018; Grubbs II, Michell,
 270 Samara, Hampton, & Jahn, 2018) to a map of total energy flux, Q_p , and characteristic
 271 energy, E_p , of the precipitating electrons. From these a proxy for the Pedersen conduc-
 272 tance is made which is done using Eq. (3) by Robinson et al. (1987):

$$\Sigma_P(x, y) = \frac{40E_p(x, y)}{16 + E_p^2(x, y)} Q_p^{1/2}(x, y), \quad (14)$$

273 with E_p in keV and Q_p in mW/m². It is, of course, possible to use multi- and/or two-
 274 stream transport models (similar to how Q_p and E_p are determined), such as the GLObal
 275 airglOW (GLOW) model (Solomon, 2017), or look-up tables generated by such models,
 276 to determine a more accurate Pedersen conductance; however, Eq. (14) suffices in pro-
 277 viding a proof-of-concept.

278 With this, the primary and secondary arc boundaries are established in one of two
 279 ways: 1) finding the magnetic latitude of the first two most prominent edges at each mag-

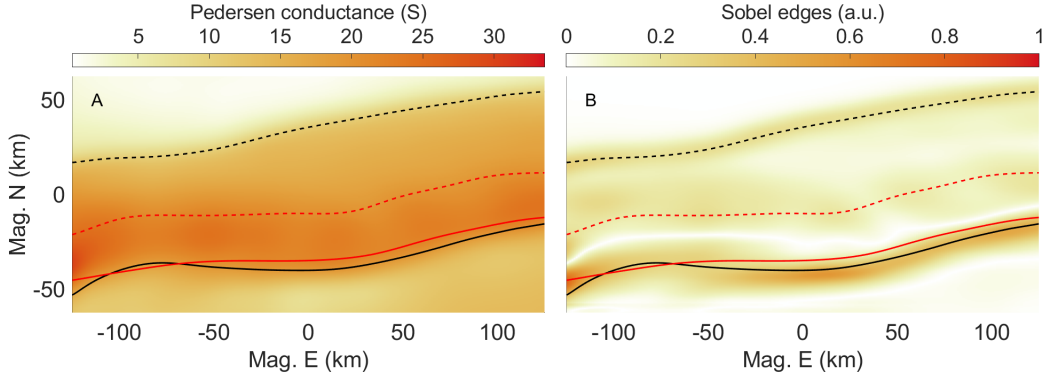


Figure 3. Primary (solid) and secondary (dashed) boundaries using Pedersen conductance and contour lines at 19.1 S and 10.5 S (black). In red are the boundaries determined using the energy flux (not shown) with the steepest gradient method, as is done by Clayton et al. (2019, 2021). **A:** Pedersen conductance determined via Eq. (14). **B:** Magnetic northward Sobel convolution of the Pedersen conductance. Both sets of boundaries have an approximate smoothing window of 15 km.

280 magnetic longitude using Sobel edge detection (Sobel, 2014) in the magnetic northward direction, or 2) following a contour line at two isovalues which can be chosen directly, or
 281 determined at the locations of the central two most prominent edges along the data track.
 282 In either case, the boundary is Gaussian smoothed. Both of these methods can be applied to the *either* the total energy flux *or* Pedersen conductance. Clayton et al. (2019,
 283 2021) use method 1 on the total energy flux, whereas, for the remainder of this paper,
 284 we use boundaries determined using Pedersen conductance contour lines. Figure 3 shows
 285 the Pedersen conductance and its magnetic northward Sobel convolution along with the
 286 primary and secondary boundaries determined using method 2 with Pedersen conductance and method 1 with total energy flux.
 287
 288
 289

290 **2.2.2 Flow data replication**

291 After the boundaries are determined, they are used to replicate the plasma flow
 292 data track, but first, the flow data are Gaussian smoothed (more on this in Section 4.2)
 293 and, prior to doing any replication, we split the plasma flow into two components: 1) the
 294 background flow, \mathbf{v}_{bg} , treated as a constant, large-scale disturbance, and 2) the small-

295 scale disturbances imposed by the arc, \mathbf{v}_{arc} :

$$\mathbf{v}(\mathbf{r}) = \mathbf{v}_{\text{arc}}(\mathbf{r}) + \mathbf{v}_{\text{bg}}. \quad (15)$$

296 Throughout the remainder of Section 2, this background flow is put aside and is only added
 297 back when performing 3D simulations (see Section 3.2). In absence of background flow,
 298 the most basic model of an auroral arc is composed of only across-arc flow shear (Marghitu,
 299 2012). Thus, we define the background flow such that, once removed, the arc flow at the
 300 intersection of the data track and the primary boundary is tangent to that boundary.
 301 Furthermore, this simplistic model has the arc defined as a band of enhanced conduc-
 302 tance in which we expect the electric field to decrease (Marghitu, 2012; Kelley, 2009).
 303 Thus, we replicate these data along the arc boundaries, while remaining tangent to it,
 304 and scaling such that the shorted out electric fields remain inside the area of enhanced
 305 conductance. This leads to the following plasma flow data track replication algorithm:

- 306 1. The original data track is translated in the east-north plane by some amount fol-
 307 lowing the primary arc boundary such that the original and replicated flow data
 308 are equal at the primary boundary-track intersections.
- 309 2. The replicated data track is scaled in the along-track direction such that the orig-
 310 inal and replicated flow data are equal at the secondary boundary-track intersec-
 311 tions.
- 312 3. The flow data of the replicated track is rotated by a constant angle per data track
 313 such that it remains to be tangent to the primary arc boundary.
- 314 4. This replication is repeated for multiple translations along the arc until the top-
 315 boundary is filled with a sufficient replication rate.

316 Figure 4 illustrates these steps given the boundaries of Figure 3. The left panel of
 317 Figure 4 shows two examples of how replications of the original trajectory are translated
 318 and scaled. The western replication example is scaled down to have the data at the red
 319 cross meet the secondary boundary, while the eastern replication is scaled up to do the
 320 same. The right panel shows the replication, but done only for a few instances for illus-
 321 tration purposes. This also shows the rotated flow vectors keeping tangent with the pri-
 322 mary boundary.

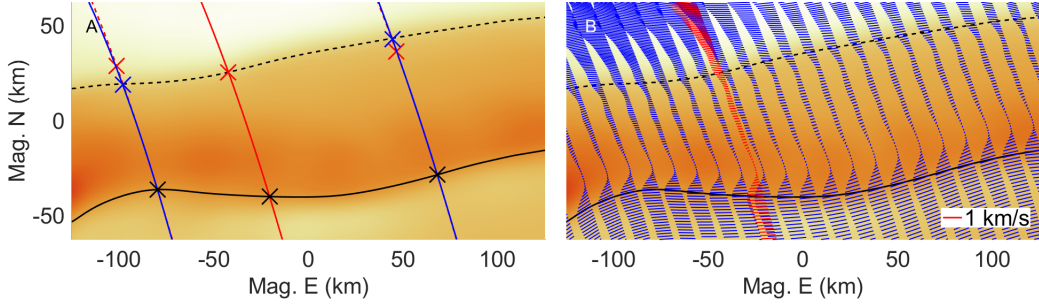


Figure 4. In situ trajectory flow data replication overlaid on the same conductance map from Figure 3A. **A:** Two example replications (blue) of the original trajectory (red) along the primary arc boundary (solid black). The black crosses have the same flow data. The red/blue crosses indicate flow data before/after scaling to meet up with the secondary arc boundary (dashed black). **B:** A low density replication (blue) along with the original, smoothed flow data (red). Data source: <https://rcweb.dartmouth.edu/lynchk>.

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2.2.3 Enforcing electrostatic flow

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The replication procedure does not, generally, produce a flow field that is divergence-free, implying a non-electrostatic component to the electric field which we seek to remove for use in electrostatic models. The replicated flow data are interpolated onto the model grid (more on this in Section 4.2). This section outlines two choices of fitting an electric potential map to this interpolated flow field, $\mathbf{v}_{\text{arc}} = \mathbf{E}_{\text{arc}} \times \mathbf{B}/B^2$, where \mathbf{B} is the magnetic field from Eq. (8) and \mathbf{E}_{arc} is the arc disturbed ionospheric electric field perpendicular to \mathbf{B} . The Helmholtz decomposition of the interpolated flow fields' associated electric field reads:

$$\mathbf{E}_{\text{arc}}(\mathbf{r}) = \mathbf{E}_I(\mathbf{r}) + \mathbf{E}_S(\mathbf{r}) = -\nabla\phi_c(\mathbf{r}) + \nabla \times \mathbf{A}(\mathbf{r}), \quad (16)$$

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where ϕ_c is the electric potential map we are looking for and \mathbf{A} is the vector potential. We want to remove the non-electrostatic part, i.e. find the irrotational electric field, \mathbf{E}_I , and remove the solenoidal field, \mathbf{E}_S , in a way that best agrees with the interpolated flow field. Two choices of doing so are:

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1. **Brute force:** Perform a least-squares fitting algorithm (Levenberg–Marquardt in our case) that fits a potential map, ϕ , to minimize the residual between the

338 original and irrotational fields:

$$\min_{\phi} \|\nabla \times \mathbf{A}(\mathbf{r})\|^2 = \min_{\phi} \|\nabla\phi(\mathbf{r}) + \mathbf{E}_{\text{arc}}(\mathbf{r})\|^2 = \min_{\phi} \sum_{i,j} \left\| (\nabla\phi)_{ij} + \mathbf{E}_{\text{arc},ij} \right\|^2, \quad (17)$$

339 the solution of which, ϕ_c , is the continuous potential map we want.

340 **2. Fourier Representation Of Poisson's Equation (FROPE):** We take the di-
341 vergence of Eq. (16) to get Poisson's equation:

$$\nabla^2 \phi_c(\mathbf{r}) = -\nabla \cdot \mathbf{E}_{\text{arc}}(\mathbf{r}). \quad (18)$$

342 We can solve for the particular solution using a Fourier representation:

$$-\|\mathbf{k}\|^2 \tilde{\phi}_c(\mathbf{k}) = -i\mathbf{k} \cdot \tilde{\mathbf{E}}_{\text{arc}}(\mathbf{k}) \implies \tilde{\phi}_c(\mathbf{k}) = i \frac{\mathbf{k} \cdot \tilde{\mathbf{E}}_{\text{arc}}(\mathbf{k})}{\|\mathbf{k}\|^2}, \quad (19)$$

343 where $\mathbf{k} = (k_x, k_y)$ is the wave vector, such that the particular potential solu-
344 tion map is

$$\phi_p(\mathbf{r}) = (\mathcal{F}^{-1} \tilde{\phi}_c)(\mathbf{r}). \quad (20)$$

345 The homogeneous solution, ϕ_h , where $\phi_c = \phi_p + \phi_h$ and $\nabla^2 \phi_h = 0$, usually is
346 determined using a Laplace solver enforcing the boundary conditions of \mathbf{E}_{arc} . How-
347 ever, in order to have more control of the weighting of the plasma flow generated
348 by our replication and interpolation procedure, we opt for one of two options: the
349 first, $\phi_h = \phi_a$, has the average electric field before and after enforcing electro-
350 statics remain, i.e.

$$\phi_a(\mathbf{r}) = \langle -\nabla\phi_p(\mathbf{r}) - \mathbf{E}_{\text{arc}}(\mathbf{r}) \rangle \cdot \mathbf{r}. \quad (21)$$

351 This option requires no optimization (i.e. it can be computed directly from the
352 particular solution found above), whereas a second option, $\phi_h = \phi_b^m$, solves the
353 optimization problem

$$\min_{\bar{F}} \left\| -\nabla(\phi_p(\mathbf{r}) + \phi_b^m(\mathbf{r}; \bar{F})) - \mathbf{E}_{\text{arc}}(\mathbf{r}) \right\|^2 \quad \text{with } \mathbf{r} \in \mathcal{M}, \quad (22)$$

354 where \bar{F} is an $m \times 2$ parameter matrix, \mathcal{M} is a user defined masking domain sur-
355 rounding the primary and/or secondary boundary, and original data track, and
356 ϕ_b^m is the most general polynomial of degree m in x and y that satisfies Laplace's
357 equation:

$$\phi_b^m(\mathbf{r}; \bar{F}, \rho) = \sum_{n=1}^m \sum_{q=0}^{\lfloor n/2 \rfloor} (-1)^q \left[\frac{F_{n1}}{\rho^{n-1}} \binom{n}{2q+1} x^{2q+1} y^{n-2q-1} + \frac{F_{n2}}{\rho^{n-1}} \binom{n}{2q} x^{2q} y^{n-2q} \right], \quad (23)$$

358 where ρ is a scaling parameter used to facilitate fitting higher order terms. An ex-
 359 ample for $m = 2$ and $\rho = 10$ m gives

$$\phi_b^2(\mathbf{r}, \bar{F}) = F_{11}x + F_{12}y + \frac{F_{21}}{10}(x^2 - y^2) + \frac{F_{22}}{10}xy. \quad (24)$$

360 Note that x , y , and ρ in meters and \bar{F} in V/m has ϕ_b^m in volts. When solving for
 361 this optimization problem the initial guess is taken to be ϕ_a .

362 To show this is the most general case, take the complex polynomial of degree m

$$p(z) = \sum_{n=0}^m F_n z^n, \text{ where } z^n = (x + iy)^n = \sum_{q'=0}^n \binom{n}{q'} x^{q'} (iy)^{n-q'}, \quad (25)$$

363 and recognize that the homogeneous polynomial z^n is analytic which therefore has
 364 harmonic real and imaginary parts (Ahlfors, 1953). This gives two parameters,
 365 the real and imaginary parts of F_n , for each value of n . To show uniqueness, we
 366 recognize that the Laplacian maps homogeneous polynomials of degree n to those
 367 of degree $n-2$, the domain and image of which have dimensions n and $n-2$ re-
 368 spectively. By the rank-nullity theorem, this means the dimension of the kernel
 369 of the Laplacian is $n - (n - 2) = 2$, so we have found all solutions.

370 Along with the interpolated flow field (column 1), examples of the brute force and
 371 FROPE are shown in Figure 5 (columns 2-3). The divergence panel shows that of the
 372 interpolated flow field and indicates the location of rotational signatures which are in-
 373 terpretable as Alfvénic. Although the brute force method is easiest to justify being the
 374 “best” fit, it is also by far the slowest. The FROPE method, on the other hand, has the
 375 advantage of using the fast Fourier transform method and it compares reasonably well,
 376 even when using the direct harmonic solution, ϕ_a . This is illustrated in Figure 6 which
 377 shows the residual between the brute force solution and the potential from Eq. (20) com-
 378 pared against a masked and unmasked harmonic fit. A constant background electric field
 379 match, i.e. a harmonic function that is constant sloped plane, ϕ_a , is a first order solu-
 380 tion in this particular case but this requires further confirmation for other cases. The
 381 masking acts as a binary placeholder for a continuous error based weighting map. Such
 382 an improved map will aid in constraining the potential in the corners of the model space
 383 (see Figure 6C).

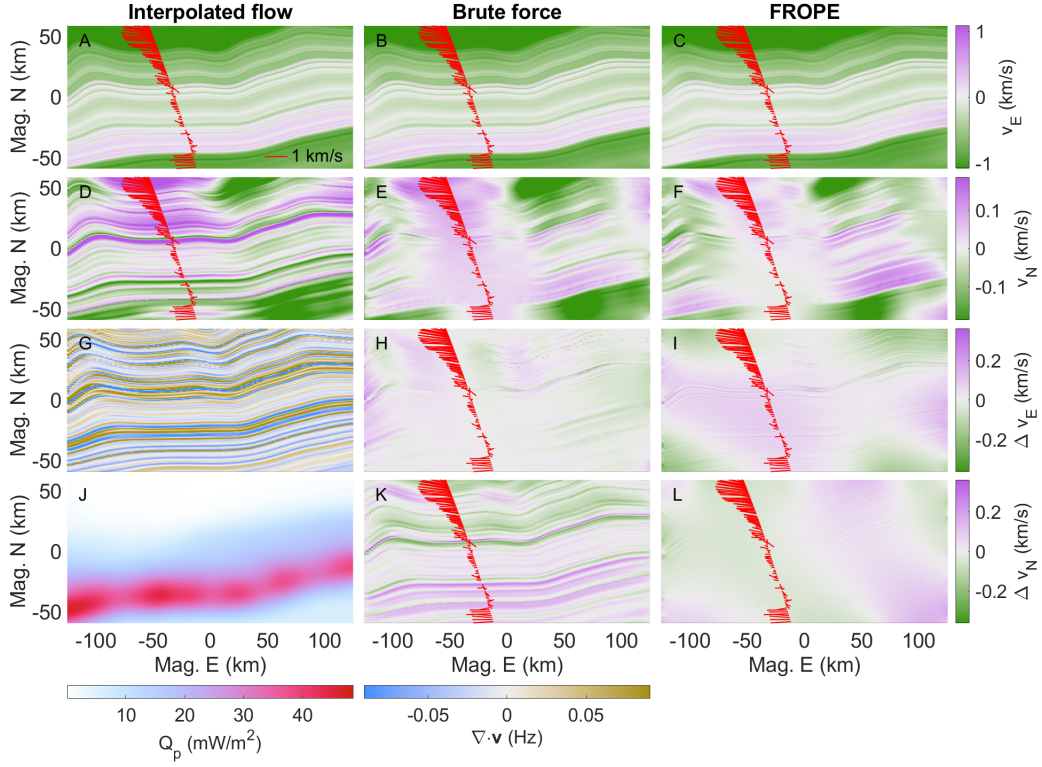


Figure 5. Comparison of methods for determining a potential map from an interpolated flow map, \mathbf{v}_{arc} . In red are the in situ plasma flow data which have no smoothing applied in an effort to stress test these methods. **A-C**: Eastward plasma flow from interpolation, the brute force method, and the FROPE method. **D-F**: Same as panels A-C but northward. **G**: Divergence of the interpolated flow. **H, K**: Difference in east- and northward flow between brute force and interpolated. **I, L**: Difference in east- and northward flow between the FROPE and brute force. **J**: Total precipitating energy flux (for reference). Data source: <https://rcweb.dartmouth.edu/lynchk>.

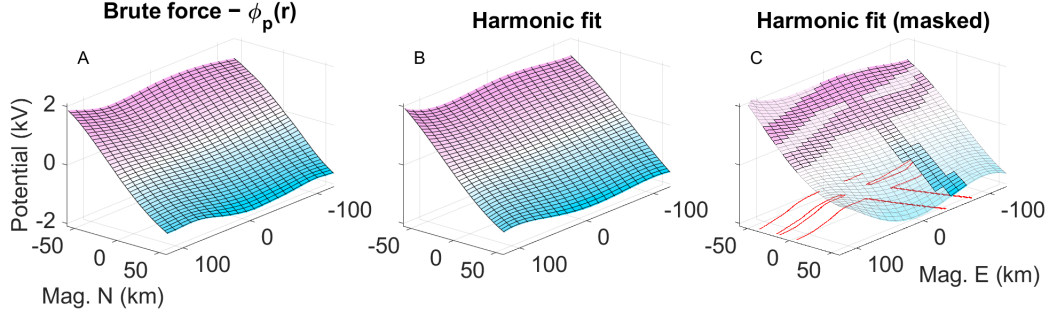


Figure 6. Validity of a harmonic function fit. **A:** Residual potential between brute force fitting and Eq. (20). **B:** Unmasked harmonic function fit from Eq. (23) with $m = 5$ and $\rho = 10$ m. **C:** Same as panel B but masked with the mask, \mathcal{M} , in red.

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2.2.4 Replication example

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Figure 7 shows the replication methodology applied to the “c5” example by Clayton et al. (2021) (see their Table 1). The top row has the scaling and rotating applied, whereas the bottom row has neither applied. For the top row, the masked 2-sigma ranges of the residuals in enforcing electrostatics are ± 106 m/s eastward and $\pm \frac{142}{140}$ m/s northward. For the bottom row, these numbers are ± 84 m/s and ± 101 m/s. Qualitatively, the applied scaling to the replication results in a co-location of the shorted-out electric field and the auroral precipitation as seen by the Σ_P contour lines in panel A, in comparison to panel D. Secondly, the applied rotation provides more streamlined plasma flow, in the literal sense, as seen by the change from southwest to west to southwest flow in panel A. In contrast, without rotation the flow remains westward resulting in a changing angle between the electric field and the conductance gradients. This has physical effects on auroral current closure (see Eq. (1)).

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2.3 Weighted replications

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In the event of a conjunction between auroral imagery and two flow data tracks, the replication method can be repeated for both tracks up to and including the interpolation step (at the beginning of Section 2.2.3). Both replications use the same primary and secondary boundaries as well as the same background flow, \mathbf{v}_{bg} . This background flow is determined by whichever replication is done first. The flow data smoothing is also performed with approximately equal Gaussian filter physical window widths.

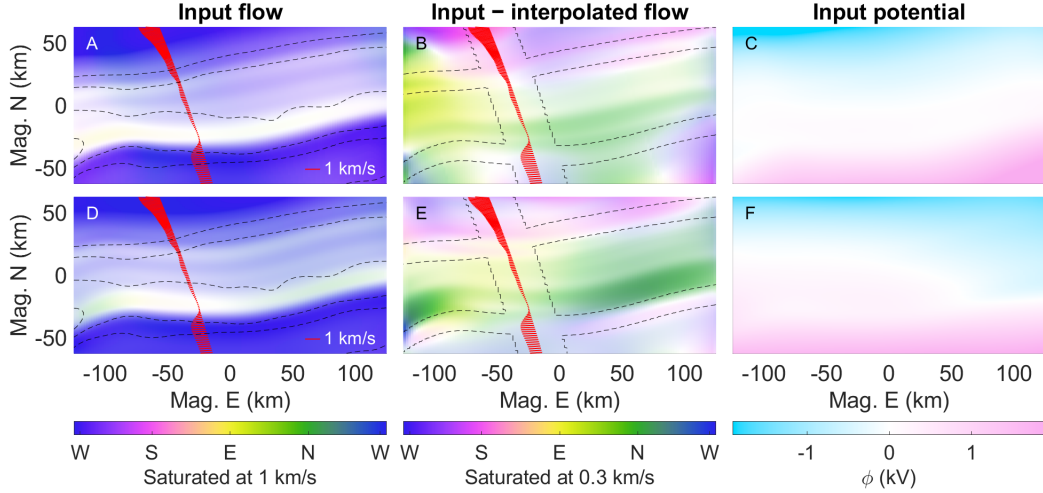


Figure 7. Input flow and potential maps used to drive simulations with (top row) and without (bottom row) replication scaling/rotating. **A, D:** Hue-saturation plots of input flow maps, $-\nabla\phi_c$, with contour lines of Pedersen conductance, Σ_P . **B, E:** Difference between input and interpolated plasma flow maps, i.e. $-\nabla\phi_c - \mathbf{v}_{\text{arc}}$, with masking contours where the harmonic function is fit. **C, F:** Input potential maps, ϕ_c . Data source: <https://rcweb.dartmouth.edu/lynchk>.

404 Once both data tracks have their replication and subsequent interpolated flow fields,
 405 they are weighted averaged with the weighting functions

$$w_A(\mathbf{r}) = \frac{1}{2} \left[1 + \tanh \left(\frac{d_{\min,B}(\mathbf{r}) - d_{\min,A}(\mathbf{r})}{s_w} \right) \right], w_B(\mathbf{r}) = 1 - w_A(\mathbf{r}). \quad (26)$$

406 Here, $d_{\min,A}$ is a map of the shortest straight-line distances from points \mathbf{r} to data track
 407 A and similarly for data track B . This configuration of weighting allows for two inter-
 408 secting data tracks. The scale length, s_w , will introduce flow gradients and has to be cho-
 409 sen with care. From here we have a new interpolated arc-disturbed plasma flow,

$$\mathbf{v}_{\text{arc}}(\mathbf{r}) = w_A(\mathbf{r})\mathbf{v}_{\text{arc},A}(\mathbf{r}) + w_B(\mathbf{r})\mathbf{v}_{\text{arc},B}(\mathbf{r}), \quad (27)$$

410 from which the methodology from Section 2.2.3 takes over. This ensures electrostatics,
 411 but it should be mentioned that, on top of the divergences still remaining in either data
 412 track's interpolated field, this weighting function introduces additional divergence of the
 413 form

$$(\nabla \cdot \mathbf{v}_{\text{arc}})_w = \nabla w_A(\mathbf{r}) \cdot (\mathbf{v}_{\text{arc},A} - \mathbf{v}_{\text{arc},B}). \quad (28)$$

414 This weighting function, however, has small northward gradients and the interpolated
 415 flows are expected to not vary much eastward, i.e. ∇w_A is approximately orthogonal to

416 $\mathbf{v}_{\text{arc},A} - \mathbf{v}_{\text{arc},B}$ resulting in minimal diverging flow. This ensures that the subsequent
 417 Helmholtz decomposition provides an electrostatic solution of the final flow map that
 418 does not stray far from the interpolated flow map.

419 **2.3.1 Weighted replication example**

420 To illustrate the double replication methodology, a conjunction from the *Swarm-*
 421 *over-Poker-2023* campaign is used (Feb - March 2023, Poker Flat Research Range, AK).
 422 This campaign facilitated conjunctions of (among a variety of other data) ion flow data
 423 from the Thermal Ion Imagers (TII) (Knudsen et al., 2017) on ESA’s Swarm mission,
 424 convection flow data from AMISR’s Poker Flat Incoherent Scatter Radar (PFISR) (Kelly
 425 & Heinselman, 2009; Nicolls & Heinselman, 2007; Heinselman & Nicolls, 2008), and multi-
 426 spectral, all-sky imagery from the Poker Flat DASC (Conde et al., 2001). This season
 427 provides a rich source of heterogeneous auroral observations for the winter months of 2023.
 428 Our example uses data from March 19 at 8:23:44 UT (20.4 MLT).

429 To circumvent the flagged poor-quality data of the Swarm ram ion flow component
 430 for this conjunction, the data streams from both the vertical and horizontal TII instru-
 431 ments are simultaneously fit using locally weighted scatterplot smoothing to average the
 432 two streams while suppressing outliers from the overall trend.

433 Figure 8A summarizes this event showing an auroral arc peaking at $Q_p \approx 30 \text{ mW/m}^2$
 434 (and $E_p \approx 7 \text{ keV}$, not shown) with some along-arc structure. The left trajectory shows
 435 ion flow data from Swarm B and the right data track shows convection flow data from
 436 PFISR. Panel B also shows the Pedersen conductance (this time inverted using GLOW
 437 (Solomon, 2017)) which is used to determine the arc boundaries, and panel C shows the
 438 weighting function used for the Swarm data. The bottom row gives the final continu-
 439 ous plasma flow maps when using only the Swarm data, or the PFISR data, or both. The
 440 individual reconstructions in panels D and E are dissimilar which is to be expected given
 441 the along-arc structure; the flow data are different at the two locations surrounding the
 442 arc, as are the conductance gradients. The final combined flow (panel F) before and af-
 443 ter enforcing electrostatics have residual 2-sigma standard range of $\pm 91 \text{ m/s}$ eastward
 444 and $\pm_{159}^{157} \text{ m/s}$ northward.

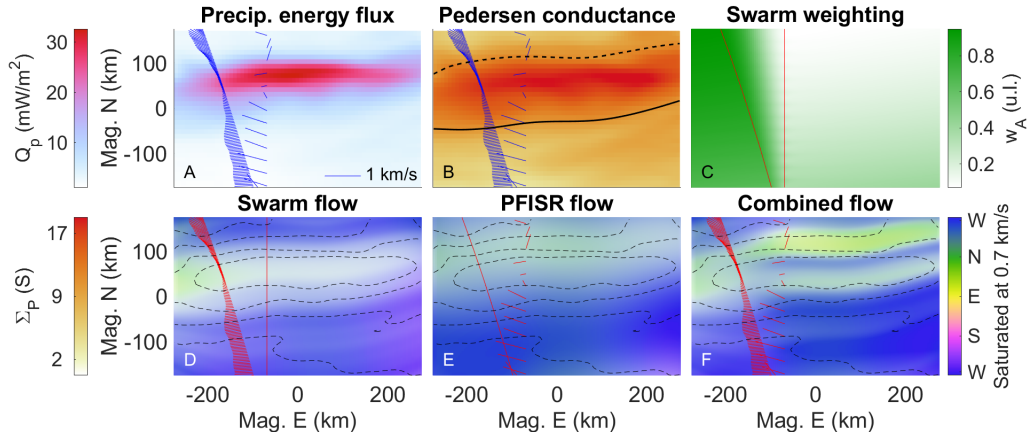


Figure 8. Weighted replication example. **A:** Precipitating total electron energy flux with plasma flow data from Swarm (left trajectory) and PFISR (right data track) in blue. **B:** The GLOW derived Pedersen conductance with the primary (solid) and secondary (dashed) boundaries overlaid. **C:** The weighting map, w_A , used for the Swarm data with a scale length of $s_w = 200$ km. **D-F:** Resulting flow maps from using only Swarm data, only PFISR data, and from using both datasets, respectively. The dashed contours are of Pedersen conductance. Data sources: <http://optics.gi.alaska.edu/optics> (DASC), <https://data.amisr.com/database> (PFISR), and <https://swarm-diss.eo.esa.int> (Swarm).

3 Auroral Ionosphere 3D Modeling with Potential Map Estimates

3.1 The GEMINI model

To investigate the effects of continuous topside ionospheric plasma flow maps in conjunction with auroral precipitation, we use state-of-the-art 3D ionospheric simulations provided by the Geospace Environment Model of Ion-Neutral Interactions (GEMINI) (M. D. Zettergren & Semeter, 2012; M. Zettergren & Snively, 2019). This is a multi-fluid (6 ions + electrons), quasi-electrostatic model with its calculations of particle continuity consisting of chemical production/loss and photo/impact ionization. Calculations of local densities, plasma flows, and temperatures are treated self-consistently and the model includes thermal conduction heat flux, collisional heating, thermoelectric electron heat flux, and inelastic cooling/heating from photoelectrons. This is supplemented with Maxwell’s equations and, at the time of writing, includes no displacement current or magnetic induction effects. With this, the system is solved through enforcing divergence-free currents, curl-free electric fields, and invoking Ohm’s law. A full description of governing equations solved by GEMINI is given in M. D. Zettergren and Snively (2015, Appx. A).

3.2 Simulation examples

Figure 9 shows GEMINI output data with Figure 7C as the plasma flow driver and the same precipitation data used by example “c5” from Clayton et al. (2021). Unlike previous figures, here the figure/simulation has \mathbf{v}_{bg} put back in. This simulation has $440 \times 504 \times 814$ nonuniform cells in the magnetic east, north, and up directions and runs for 90 seconds. The calculated FAC slice is taken at an altitude of 200 km, but is plotted at 80 km for visualization purposes. Similarly, the electron density slice is taken at the center but plotted at the eastern wall. In order to visualize FAC closure, we opt for current flux tubes which are made possible by the GEMINI enforced condition of $\nabla \cdot \mathbf{j} = 0$ and the use of streamlines sourced at closed elliptical curves (solid black curves). This enables an astute interpretation of auroral current closure by showing where a patch of FAC joins back with the magnetosphere, or where a region of Hall current exits the model space. The dotted black and blue curves show the projection of the terminating ends of the flux tubes onto the FAC map. The green flux tube (27.8 kA) represents a traditional example of FAC closure via the Pedersen layer, closing down between 118 - 159 km. The

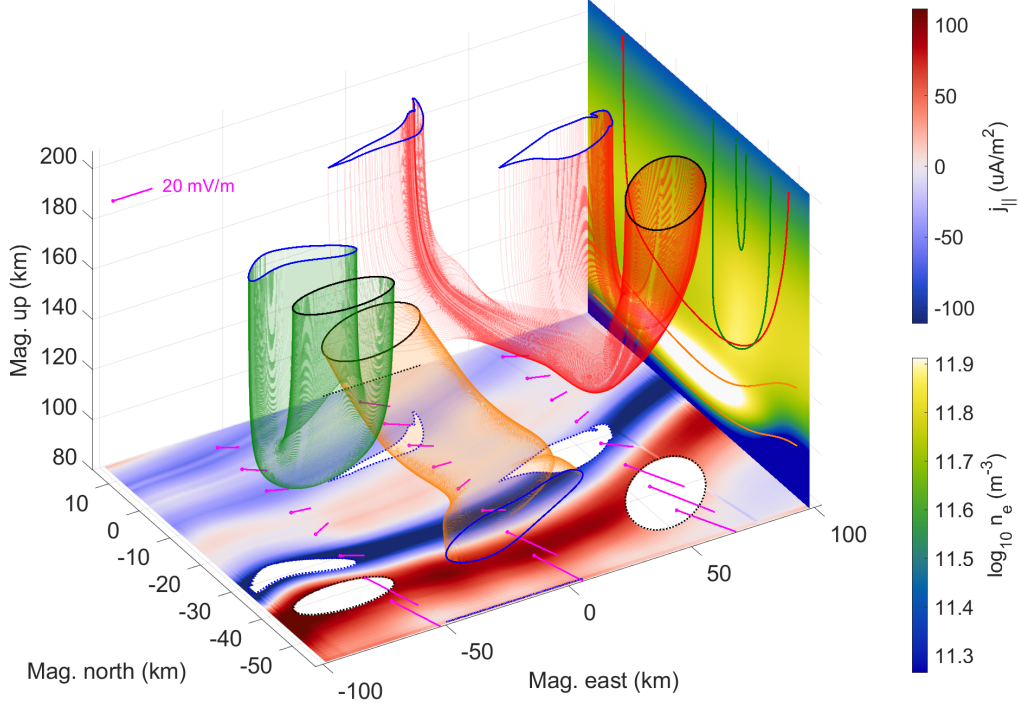


Figure 9. Plasma flow driven GEMINI output with input from the potential in Figure 7C. Current flux tubes are colored for distinction purposes and start/end at solid black/blue curves. The orange flux tube runs in reverse from the poleward to the equatorward boundary walls. **East side:** A north-up slice of electron density taken at 0 km east along with flux tube outline projections. **Bottom side:** An east-north slice of FAC (with parallel being down) taken at 200 km altitude along with flux tube start/end curve projections (dashed) and electric field vectors (magenta). These electric field vectors include the background electric field.

476 orange tube (31.0 kA) runs underneath it near the Hall layer and shows exchange be-
 477 tween a region of Hall current and Pedersen current (see magenta electric field vectors)
 478 up near the bottom of the Pedersen layer. This tube enters at the poleward wall between
 479 90 - 110 km in altitude, spans between 87 - 100 km at its lowest point, and exits the equa-
 480 torward wall between 101 - 126 km. The red flux tube (23.9 kA) is, to some extent, a
 481 combination of these two, and has two exit regions. When this tube runs out of upward
 482 FAC to close through in its adjacent current sheet, it continuous onto the next upward
 483 FAC sheet poleward of it where the remaining 2.5 kA is closed.

484 To show the effects of steps 2 and 3 of section 2.2.2 we run additional simulations
 485 (at a lower resolution of $128 \times 512 \times 212$) using the same precipitation maps but with

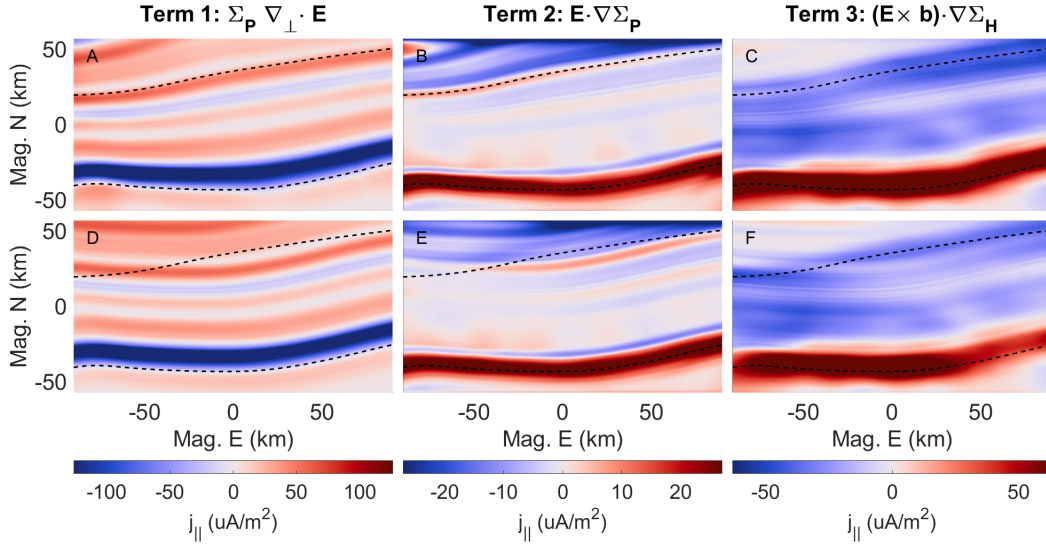


Figure 10. Calculated FAC components from Eq. (1). **A-C:** Terms 1 through 3 respectively split from the FAC map shown in Figure 9 along with arc boundaries (dashed). **D-F:** Same as terms from the top row but with replication scaling and rotating turned off.

486 the replication scaling and rotating turned on and off (see Figure 7D-F). Figure 10 di-
 487 divides the topside ionospheric FAC maps of both simulations into the three terms from
 488 Eq. (1) in order to look at the effects of the plasma flow shear and precipitation gradi-
 489 ents separately. Figure 10D shows sensible results given a single arc boundary, but pan-
 490 nels E and F illustrate an amalgamation of two apparent arc profiles at the poleward edge
 491 of the arc; even though this replication is fully transparent to the secondary boundary,
 492 the Pedersen and Hall conductance gradients cause the secondary boundary to substan-
 493 tiate. In contrast, Figures 10A-C show clean alignment between both arc boundaries for
 494 all three FAC terms.

495 4 Discussions

496 4.1 Improvements to auroral plasma flow mapping

497 Figure 9 indicates that even for basic examples of auroral arc systems, the mor-
 498 phology of current closure is 3D in nature. The green flux tube depicts a more instinc-
 499 tive auroral current closure type (Mallinckrodt, 1985) using largely Pedersen currents
 500 to close, however, the red flux tube illustrates a less common view of FAC current clo-
 501 sure; not all current from one FAC sheet has to close with its neighbouring sheet. The

502 section of the sourced FAC furthest equatorward has to “dig” deeper into the Hall layer,
 503 subsequently horizontally rotating, in search of another closure path. Secondly, the or-
 504 ange flux tube is mostly Hall current, but includes divergence, i.e. the last term in Eq. (1),
 505 which is being fed by Pedersen currents as the tube descends from regions of higher con-
 506 ductivity (see the electron density panel). The Pedersen current being used by this clo-
 507 sure can no longer be used to close FACs, which is how diverging Hall currents can in-
 508 directly effect topside ionospheric currents. Moreover, FAC closure is not restricted to
 509 the 90 - 130 km altitude range where Pedersen and Hall conductivities maximize; depend-
 510 ing on the perpendicular distance from the FAC sheet inflection line, Pedersen closure
 511 can happen at altitudes as high as 159 km in this instance. From a current flux conser-
 512 vation standpoint, this is a matter of balancing the lower conductivity at these heights
 513 with a larger flux tube cross-section.

514 All this 3D structure is attributable to the interplay of the altitude dependent Ped-
 515 ersen and Hall conductivities as a region of current follows the path of least resistance.
 516 To better understand electrostatic auroral arc scale science, and the non-passive role the
 517 ionosphere plays in quasi-static MI coupling, these 3D features require further studies,
 518 which in turn requires 3D auroral simulations and thus this provides the need for con-
 519 tinuous, topside ionospheric, electrostatic plasma convection maps.

520 We have developed techniques for creating such maps from sparse, heterogeneous,
 521 and distributed measurements which focus on the anisotropic physical and gradient scale
 522 lengths of aurorae, and discrete sheet-like morphologies. The reconstruction, replication,
 523 and weighted replication methodologies all aim to use maximal information from imagery
 524 derived precipitation maps to provide geophysical extrapolations of plasma flow maps
 525 surrounding auroral arcs. This is achieved by the following extensions to work done by
 526 Clayton et al. (2019):

- 527 1. Opting for imagery derived Pedersen conductance contour lines, in place of en-
 528 ergy flux gradients, as a more natural choice for replicating electric field data.
- 529 2. Using a secondary auroral arc boundary to which the plasma flow data are scaled
 530 in an attempt to co-locate shorted-out electric fields with enhanced precipitation.
- 531 3. Rotating replicated plasma flow data to ensure the zeroth order flow shear def-
 532 inition of auroral arcs.

533 4. Using the Fourier Representation Of Poisson's Equation technique in enforcing elec-
534 trostatics.

535 Figures 7 and 10 demonstrate these improvements. These additional measures ensure
536 that the relative directions between the electric fields and the imagery related gradients
537 are more geophysical, and they represent the next step toward studying auroral arcs that
538 stray from ideal, sheet-like morphologies.

539 4.2 Cautionary remarks

540 The Gaussian smoothing of the plasma flow data (referred to in Section 2.2.2) should
541 not be arbitrary. Eq. (1) shows that the gradients in the data track direction directly
542 affect the magnitude of the FAC. The resolution of the optical data (often the limiting
543 resolution) should match the resolution of the plasma flow data in such a way that the
544 Pedersen, Hall conductance gradient, and diverging electric field terms balance in Eq. (1).
545 For example, Figure 8 shows a precipitation and conductance map that have similar min-
546 imum structure sizes to that of the resulting plasma flow maps. As a validation check,
547 the area integral of the model calculated FAC map over the region of interest should ap-
548 proximately vanish.

549 Improvements are being made to all-sky imagery inversions, however. The resolu-
550 tion of optical data were previously limited by the necessity of time averaging or spa-
551 tial low-pass filtering to suppress CCD noise. At the time of writing, we are exploring
552 the use of translation-equivariant wavelet denoising to suppress noise while preserving
553 high spatial and time resolution, as well as across-arc gradients.

554 As a further cautionary reminder, the replicated plasma flow interpolation (see Sec-
555 tion 2.2.3) needs to be done using cubic or cubic spline methods to ensure a continuity
556 of C^1 or higher. Using linear interpolation results in strong rippling of simulated FAC
557 because of discontinuous first derivatives of the electric field.

558 5 Conclusions and Applications

559 Measurements of auroral arc systems can be sparse, heterogeneous, and widely dis-
560 tributed, while ionospheric models generally require continuous top-boundary drivers.
561 We address this challenge by using extensive information from multi-spectral, all-sky im-

562 agery. We have outlined three empirical methods for creating electrostatic, spatially con-
 563 tinuous, topside ionospheric convection boundary conditions that focus on typical sheet-
 564 like discrete auroral arc structures. The main takeaways are as follows:

- 565 1. Even for the most basic auroral arc systems, a 1D (latitude) or 2D (latitude-altitude)
 566 description can be insufficient and may hide the 3D nature of current closure.
- 567 2. When extrapolating ionospheric topside plasma flow data surrounding auroral arcs
 568 it is important to scale the data in a way that co-locates the associated shorted-
 569 out electric fields with the region of enhanced conductance.
- 570 3. Similarly, it is important to rotate the plasma flow data in a way that avoids in-
 571 troducing arbitrary angles between the ionospheric electric field and the conduc-
 572 tance gradients.
- 573 4. Current flux tubes whose ends are near the FAC inflection line between an upward
 574 and downward current sheet can close through Pedersen current at altitudes well
 575 above where Pedersen conductivity maximizes.
- 576 5. Current flux tubes surrounding auroral arcs can split; a region of FAC inside one
 577 downward current sheet can close in two upward current sheets.

578 It is possible to merge the techniques described in this paper with Lompe (Laundal
 579 et al., 2022) to provide even more self-consistency. This could be done directly by us-
 580 ing replicated flow maps (with appropriate weighting) and FAC data. Another way would
 581 be by adding constraints to Lompe that prioritize solutions with small angles between
 582 conductance gradients and flow, and solutions with small products between electric field
 583 and conductances to act as step 2 and 3 in Section 2.2.2.

584 Finding a set of electrostatic auroral conductances, convection flow, and FAC maps
 585 that are physical and self-consistent can be fully determined through current continu-
 586 ity. Finding a set that appears in nature, on Earth, and is likely, however, requires a greater
 587 understanding of the three-dimensional interplay between these three ingredients. The
 588 techniques outlined in this paper can be used to develop a series of data-driven 3D sim-
 589 ulations provided by conjunctions like those from the *Swarm-over-Poker-2023* campaign.
 590 Conjunctions which include convection flow data provided by EISCAT 3D (Stamm et
 591 al., 2021) can also be used in the future using these techniques. Such simulations can
 592 be idealized to retain only the fundamental auroral structures (peak precipitation flux,
 593 flow shear, arc width, etc.) where the resulting data-*inspired* simulations can be defined

594 by a manageable number of parameters. This parameter space can be strategically ex-
595 plored, gradually straying auroral systems from ideal, sheet-like structure. Understand-
596 ing the physical mechanisms connecting these various parameters will aid in studying
597 data-driven simulations.

598 **6 Open Research**

599 All 3D simulation data, Isinglass data, imagery inversions, and reconstruction/replication
600 tools are available at <https://rcweb.dartmouth.edu/lynchk>. The data for the Poker
601 Flat DASC are available at <http://optics.gi.alaska.edu/optics/archive>, for AMISR
602 at <https://data.amisr.com/database>, and for the Swarm TII at [https://swarm-diss](https://swarm-diss.eo.esa.int)
603 [.eo.esa.int](https://swarm-diss.eo.esa.int). The GEMINI source code and documentation is available at [https://](https://github.com/gemini3d)
604 github.com/gemini3d.

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